

Fig. 1

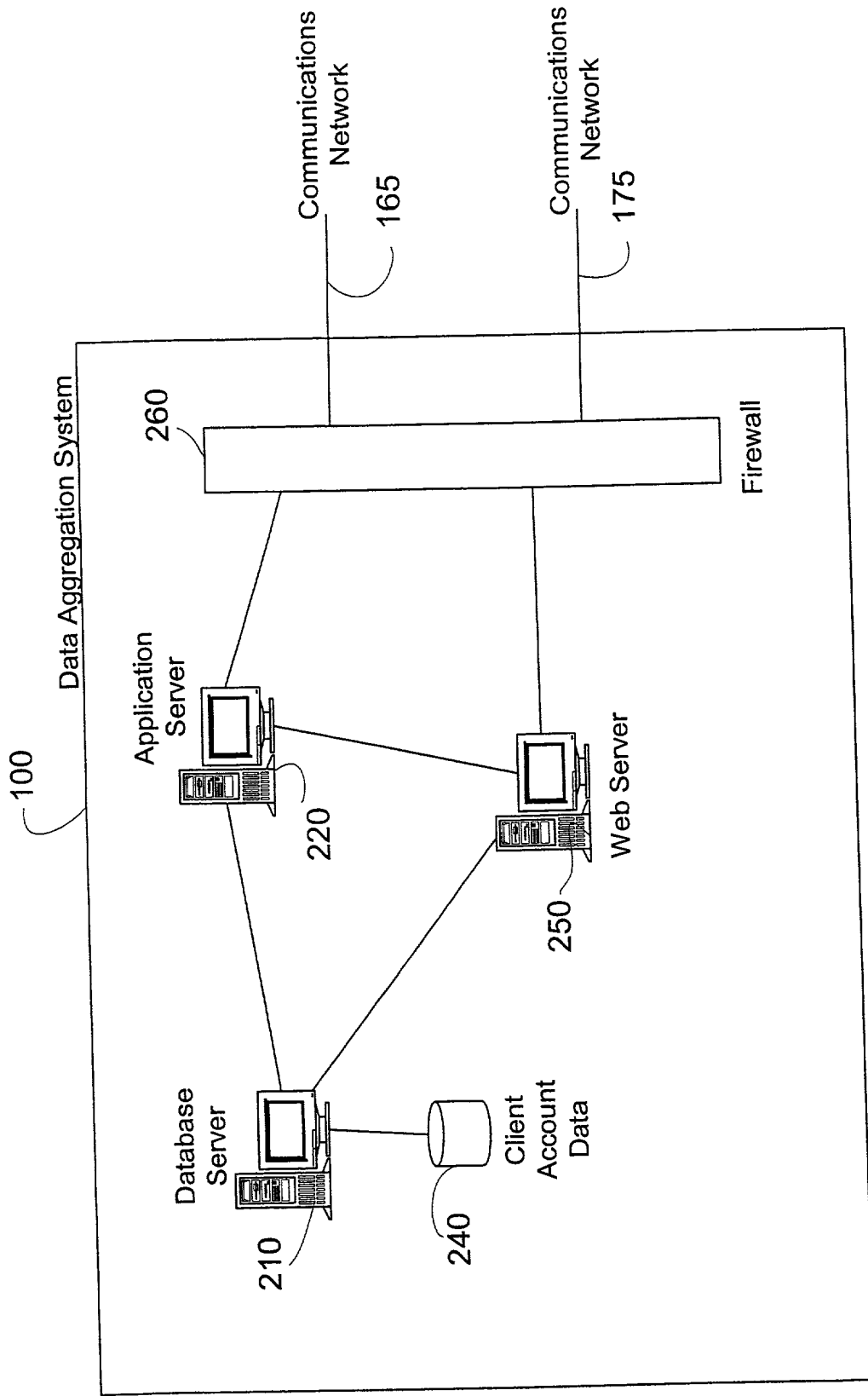


Fig. 2

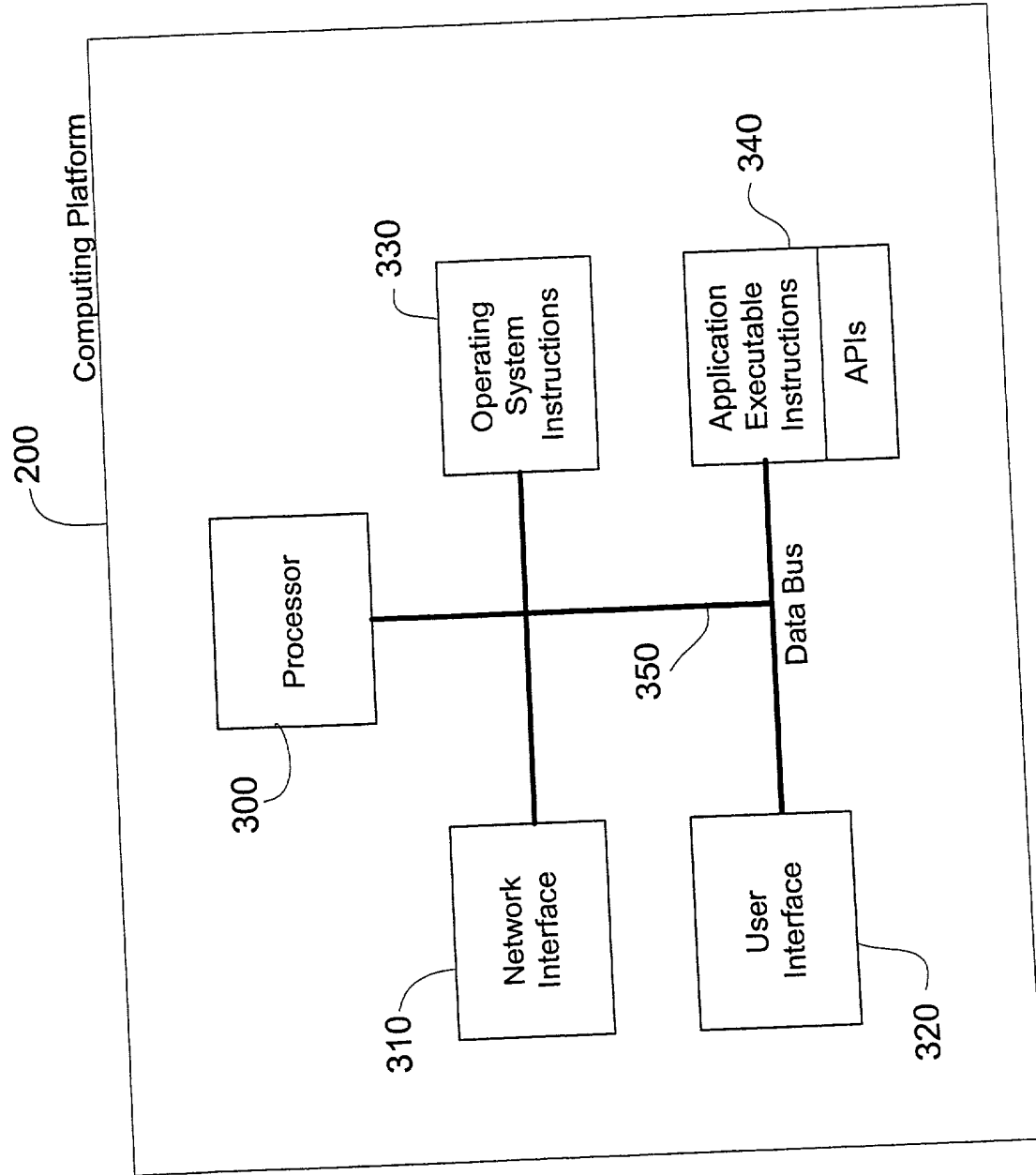


Fig. 3

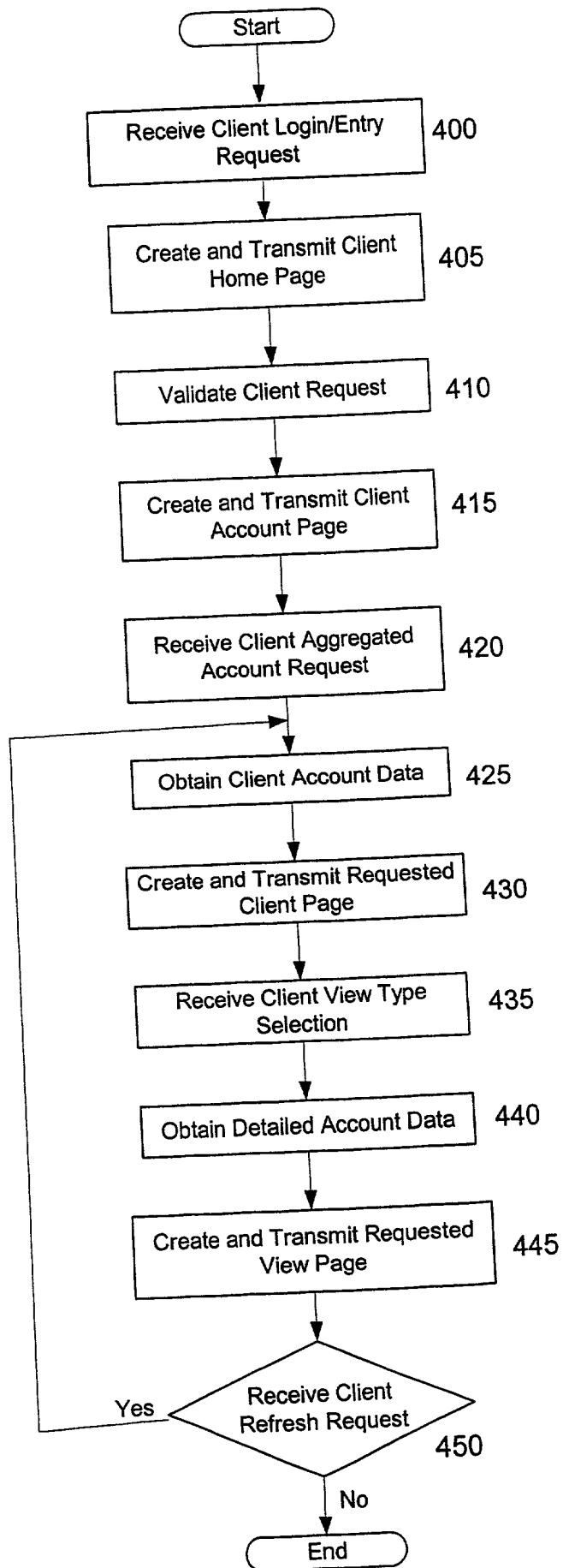


Fig. 4

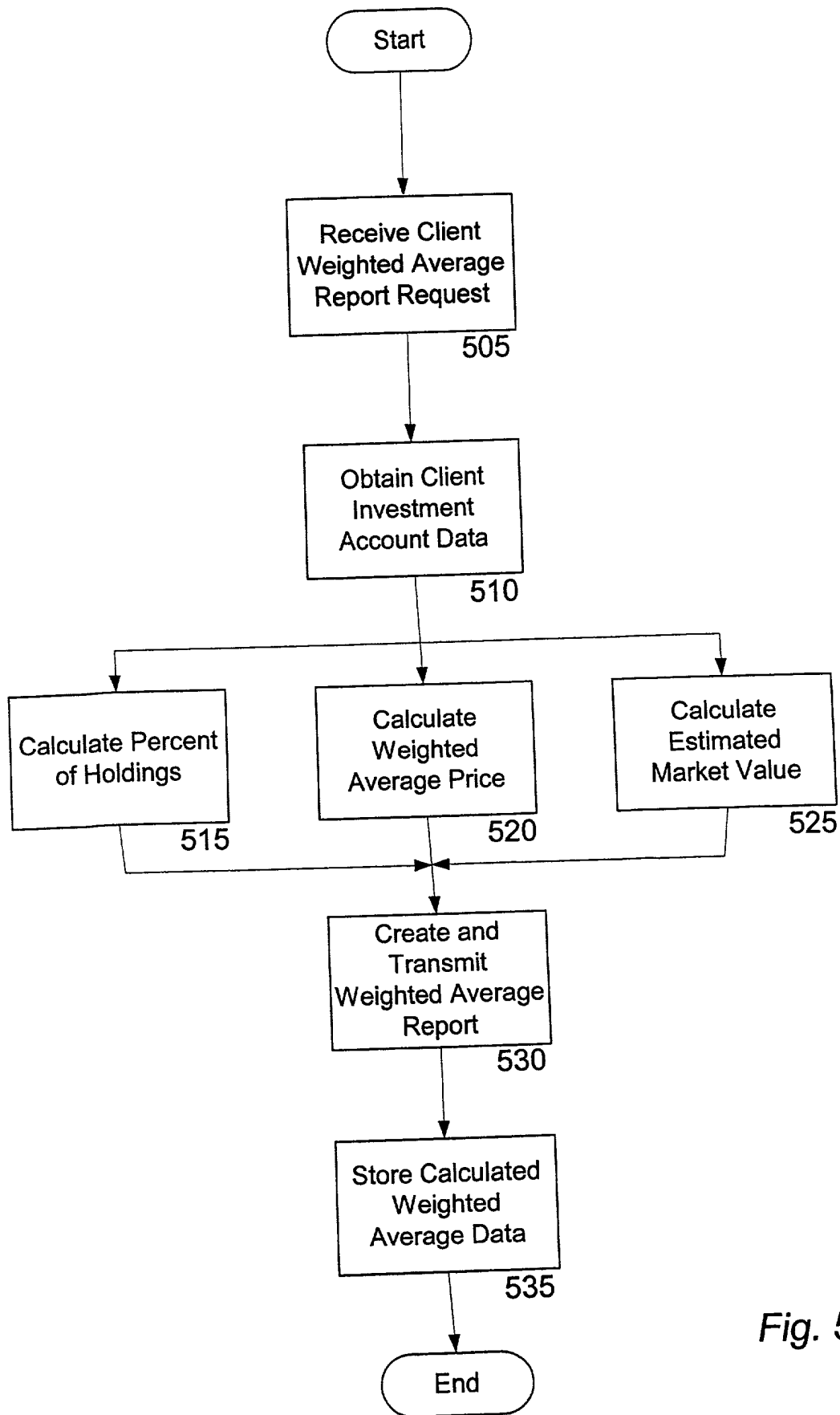


Fig. 5

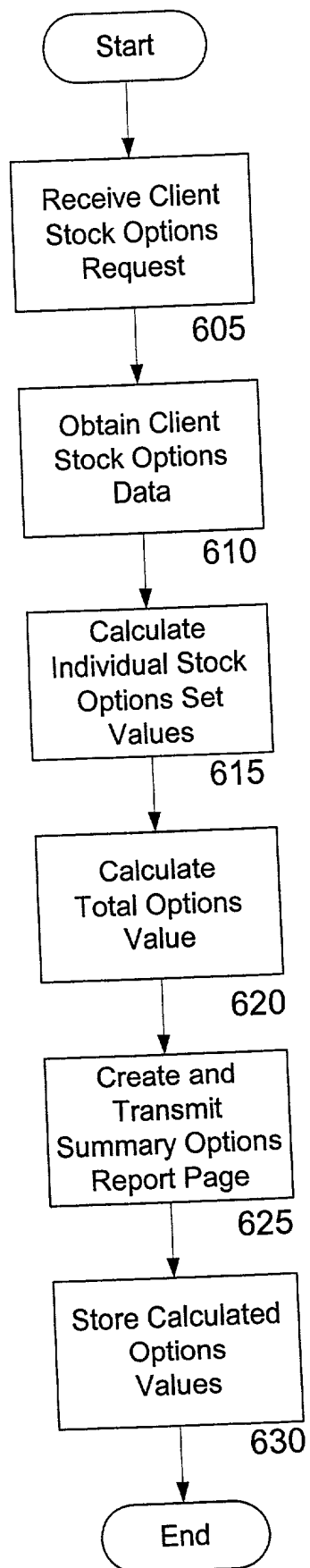


Fig. 6

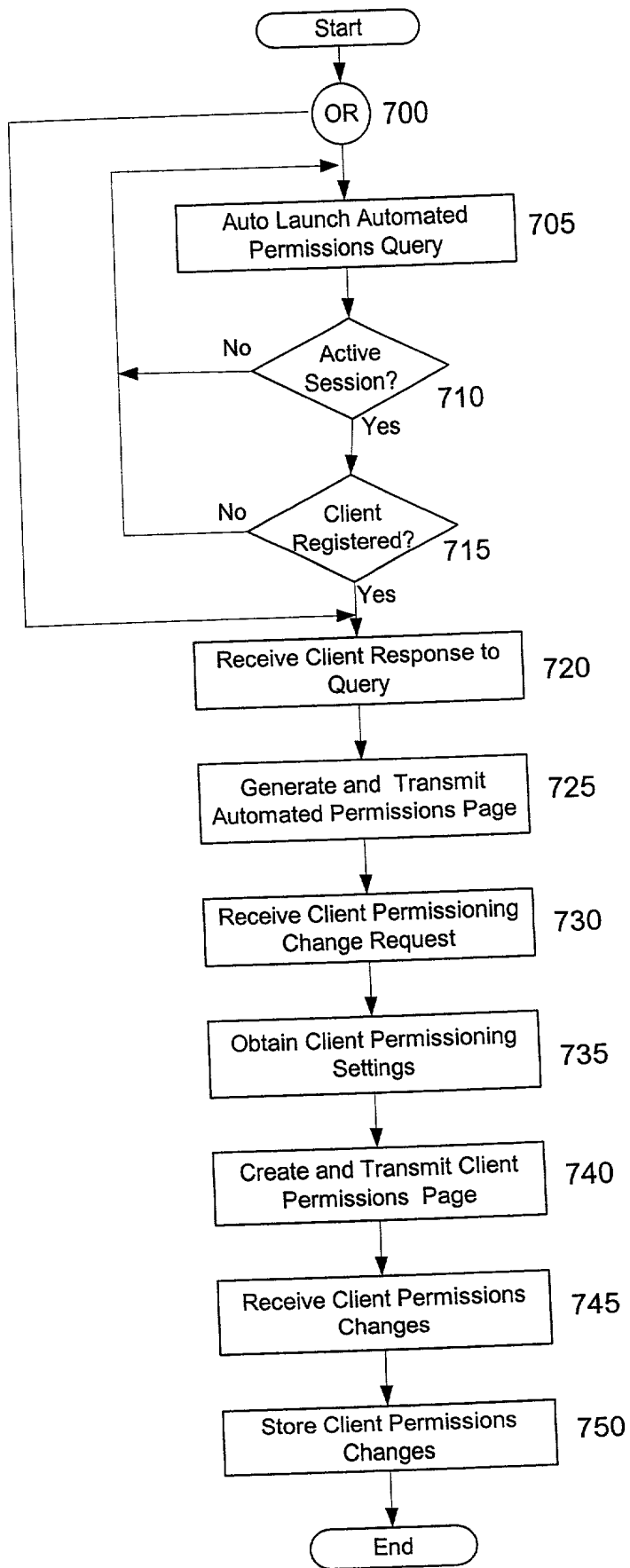


Fig. 7

TOTAL

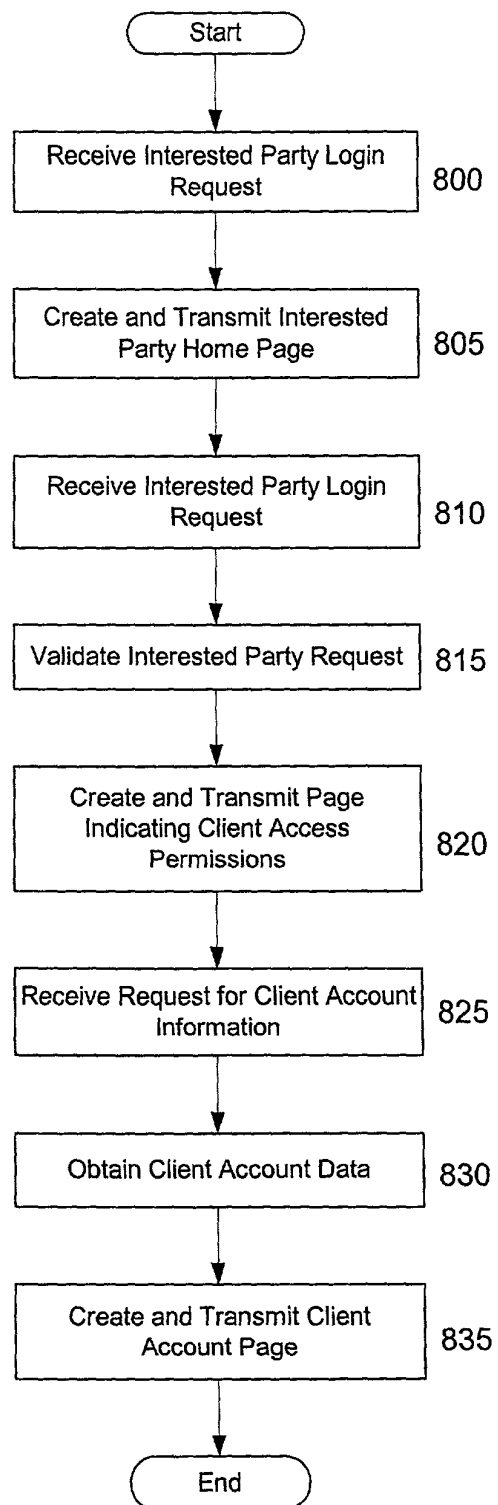


Fig. 8

✓ 900

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Address https://finance1.ebalance.com/sv/accont_sum

**PaineWebber
EDGE**

My Accounts My Reports My Profile Support

Summary New Transactions Edit Account

My Accounts -> Summary [Security](#) | [Log Off](#) | [Help](#)

Welcome Paine Webber Test Account

① Last Visit: 09/18/2000 05:50 PM EST/EDT

| Online Account | | | |
|-------------------------|--------------------|--------------|------------------------------------|
| Financial Institution | Friendly Name | Balance | Retrieval Date |
| PaineWebber | Demo DM 0008Z | 11,742.47 | 09/18/2000 Refresh |
| PaineWebber | Demo HK 9157Z | 68,885.53 | 09/18/2000 Refresh |
| PaineWebber | Demo WE 94133 | 16,975.85 | 09/18/2000 Refresh |
| PaineWebber CSBS | CSBS Stock Options | 693,750.00 | 09/18/2000 Refresh |
| PFP 401(k) | 401(k) Plan | 34,876.17 | 09/18/2000 Refresh |
| Estimated Account Total | | \$826,230.02 | 09/18/2000 |

[Refresh All Online Information](#)

| Personal Property/Offline Account | | | |
|-----------------------------------|---------------|------------|---------------|
| Institution/Description | Friendly Name | Balance | Modified Date |
| car | My car | 20,000.00 | 08/30/2000 |
| CitiBank | College Loan | -30,000.00 | 08/22/2000 |

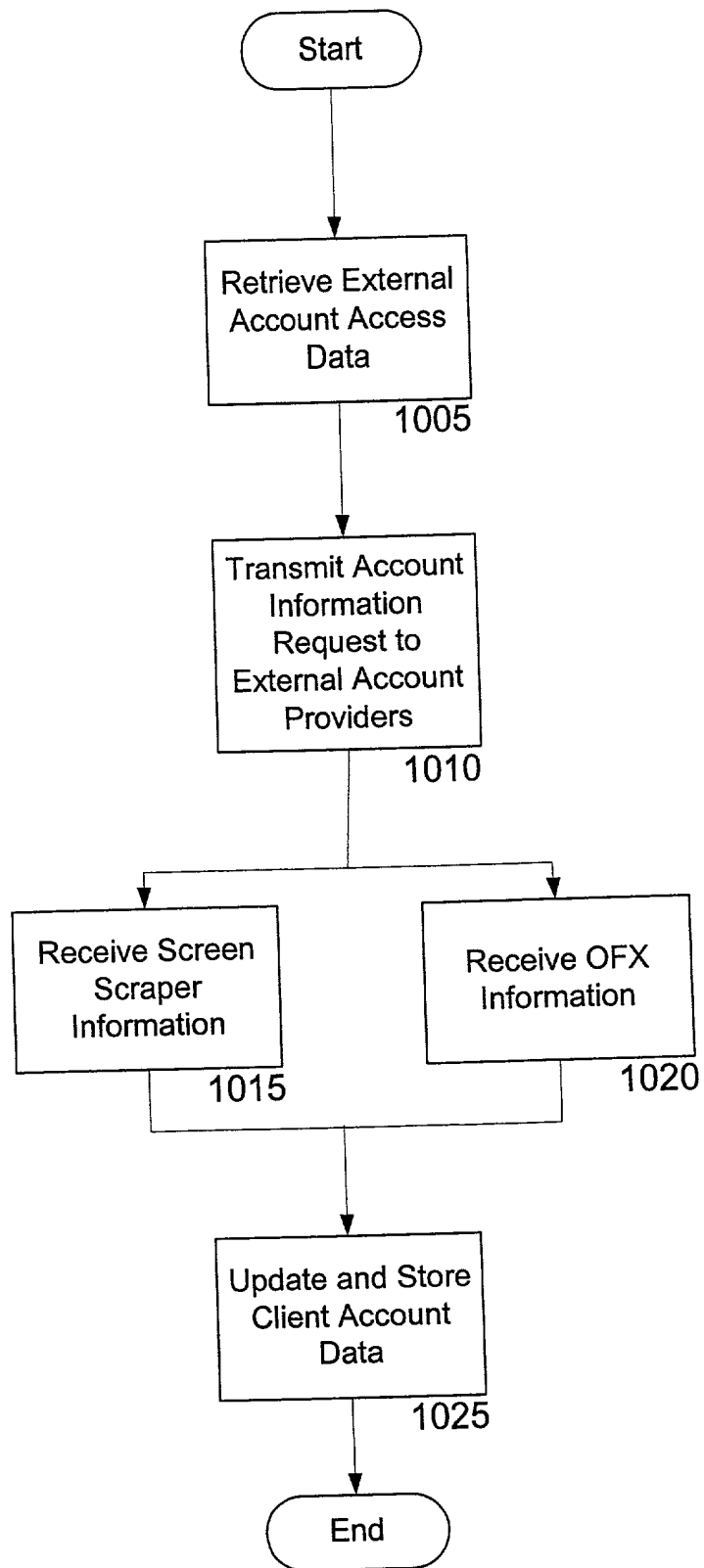


Fig. 10

Calculating A Weighted Average

Example #1

| Institution | Stock Name | # of Shares | % of Holdings | Quoted Price | Estimated Market Value | Weighted Average Price |
|-------------------|------------|-------------|---------------|--------------|------------------------|------------------------|
| PaineWebber, Inc. | IBM | 500 | 50% | \$100.00 | \$50,000.00 | |
| Merrill Lynch | IBM | 250 | 25% | \$101.00 | \$25,250.00 | |
| Schwab | IBM | <u>250</u> | 25% | \$102.00 | \$25,500.00 | |
| Total: | | <u>1000</u> | | | \$100,750.00 | \$100.75 |

Weighted Average Price = $\frac{\text{Total Estimated Market Value}}{\text{Total \# of Shares}}$

Example #2

| Institution | Stock Name | # of Shares | % of Holdings | Quoted Price | Estimated Market Value | Weighted Average Price |
|-------------------|------------|-------------|---------------|--------------|------------------------|------------------------|
| PaineWebber, Inc. | MSFT | 150 | 30% | \$55.00 | \$8,250.00 | |
| Merrill Lynch | MSFT | 250 | 50% | \$52.00 | \$13,000.00 | |
| Schwab | MSFT | <u>100</u> | 20% | \$54.00 | \$5,400.00 | |
| Total: | | <u>500</u> | | | \$26,650.00 | \$53.30 |

Weighted Average Price = $\frac{\text{Total Estimated Market Value}}{\text{Total \# of Shares}}$

To be displayed to client:

| Stock Name | Weighted Avg. Price | Estimated Value |
|------------|---------------------|-----------------|
| IBM | \$100.75 | \$100,750.00 |
| MSFT | \$53.30 | \$26,650.00 |

2010020102 10050305 10050305

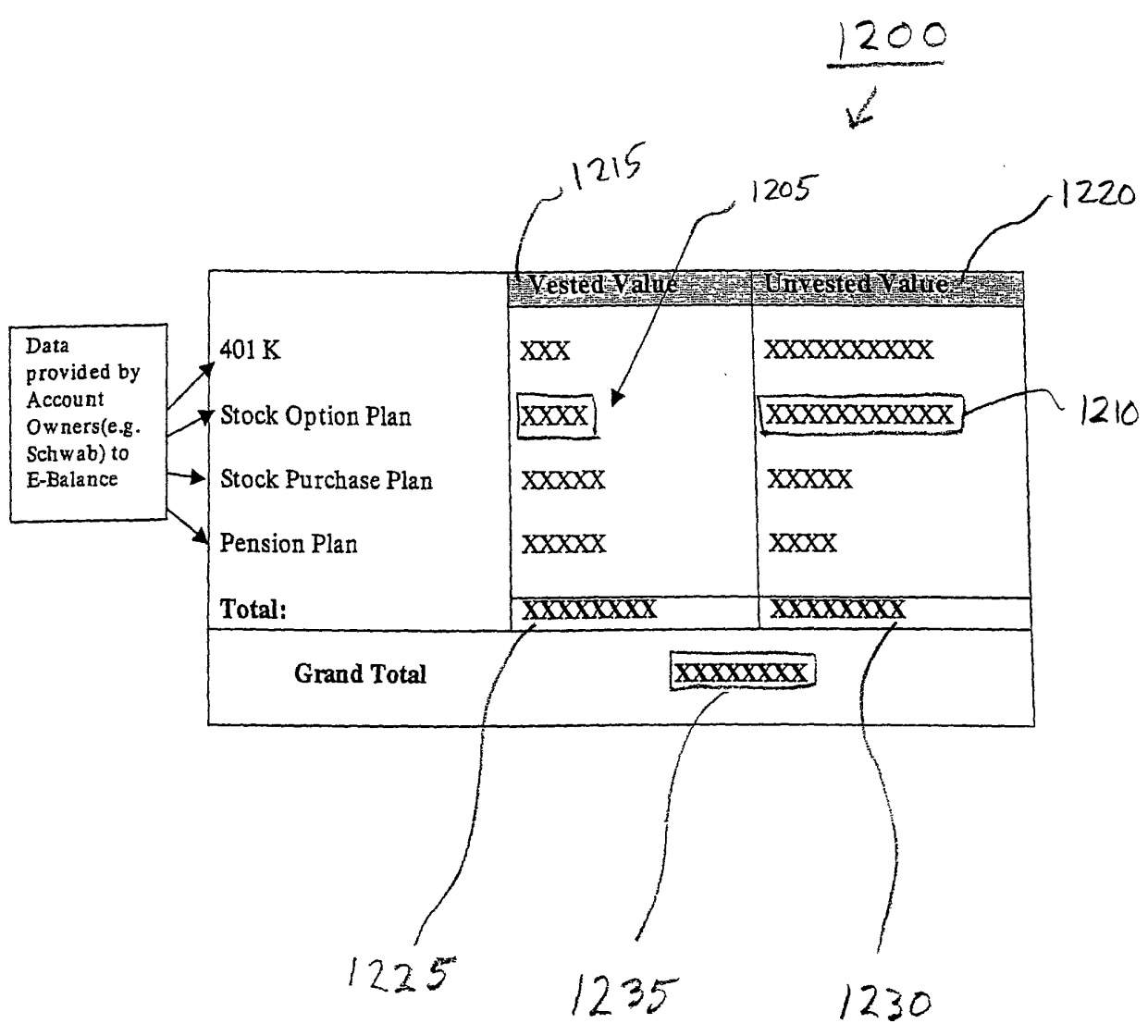


Fig. 12

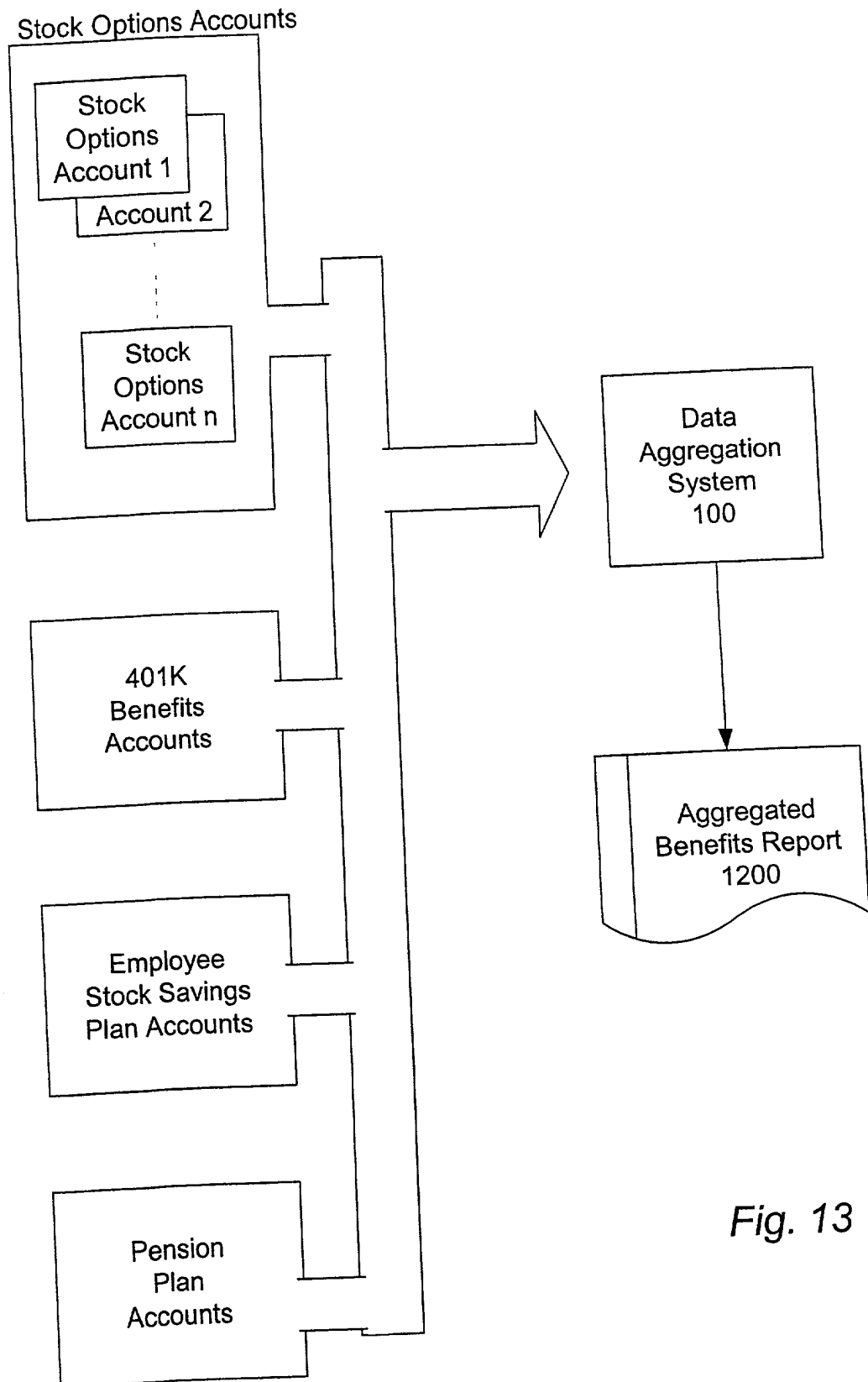


Fig. 13

1400
↓

1405

1410

1415

| Aggregated Account | Advisor | Access Type |
|---------------------------|---------------------------|-------------|
| | | |
| | | |
| Stock Options | "Financial Advisor" No. 1 | Detailed |
| | "Tax Advisor" | |
| | | |
| 401K Account | Joe Smith | Summary |
| | | |
| Credit Card Account No. 1 | <NONE> | None |

Fig. 14

1500
↓

FA View Home Page - Microsoft Internet Explorer

Address: C:\Data Aggregation\FA View Prototype\RMA Activity.htm

PaineWebber

Home | Client Info | Investment Consulting Services | Tools | Management

Research | PW Apps | Market Data | Client Inquiry | Data Aggregation | InfoNet

Account Detail | Positions | Activity

Position: All Account: BT-12345

Institution: PaineWebber RMA Client: Ewing, Patrick
 Account: BT-12334 Address: 123 Sonic Drive
 Account Title: My RMA FA: Fred Effay
 Seattle, WA 12345

1505 1510 1515 1520 1525 1530 1535 1540

| Settle Date | Trade Date | Quantity | Description | Price | Amount | Security ID | Symbol |
|-------------|------------|----------|-------------|--------|----------|-------------|--------|
| 12/06/2000 | 12/03/2000 | 10 | BUY | 55.00 | 550.00 | | YHOO |
| 12/06/2000 | 12/03/2000 | 25 | SELL | 58.00 | 1,450.00 | | YHOO |
| 12/06/2000 | 12/03/2000 | 100 | BUY | 34.00 | 3,400.00 | | YHOO |
| 12/19/2000 | 12/16/2000 | 100 | BUY | 150.00 | 2,500.00 | | UBS |
| 12/19/2000 | 12/06/2000 | 50 | SELL | 168.00 | 3,400.00 | | UBS |
| 01/06/2001 | 01/03/2001 | 100 | BUY | 60.00 | 6,000.00 | | CSCO |

Fig. 15

20100201 "SECRET"

1600
↓

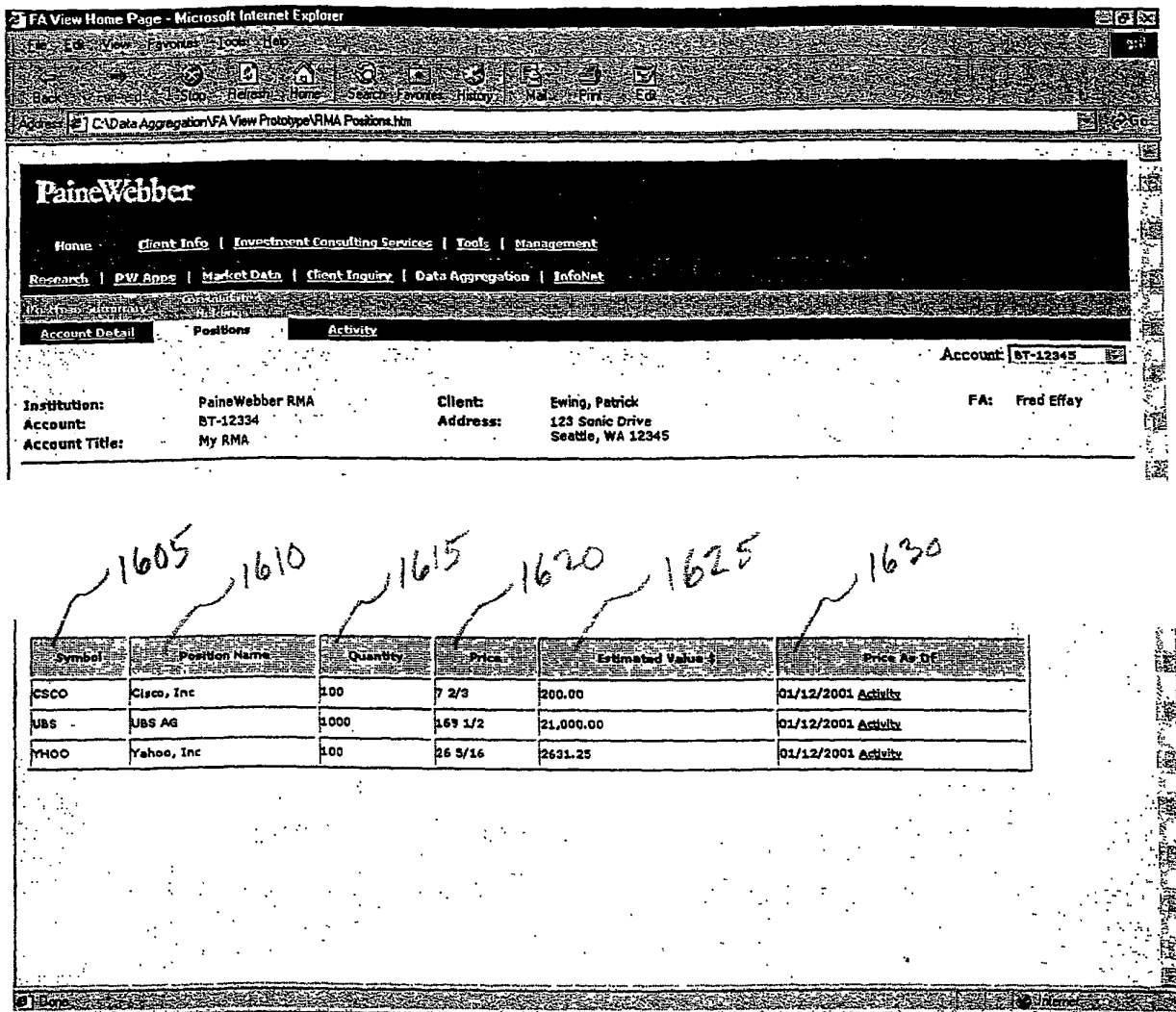
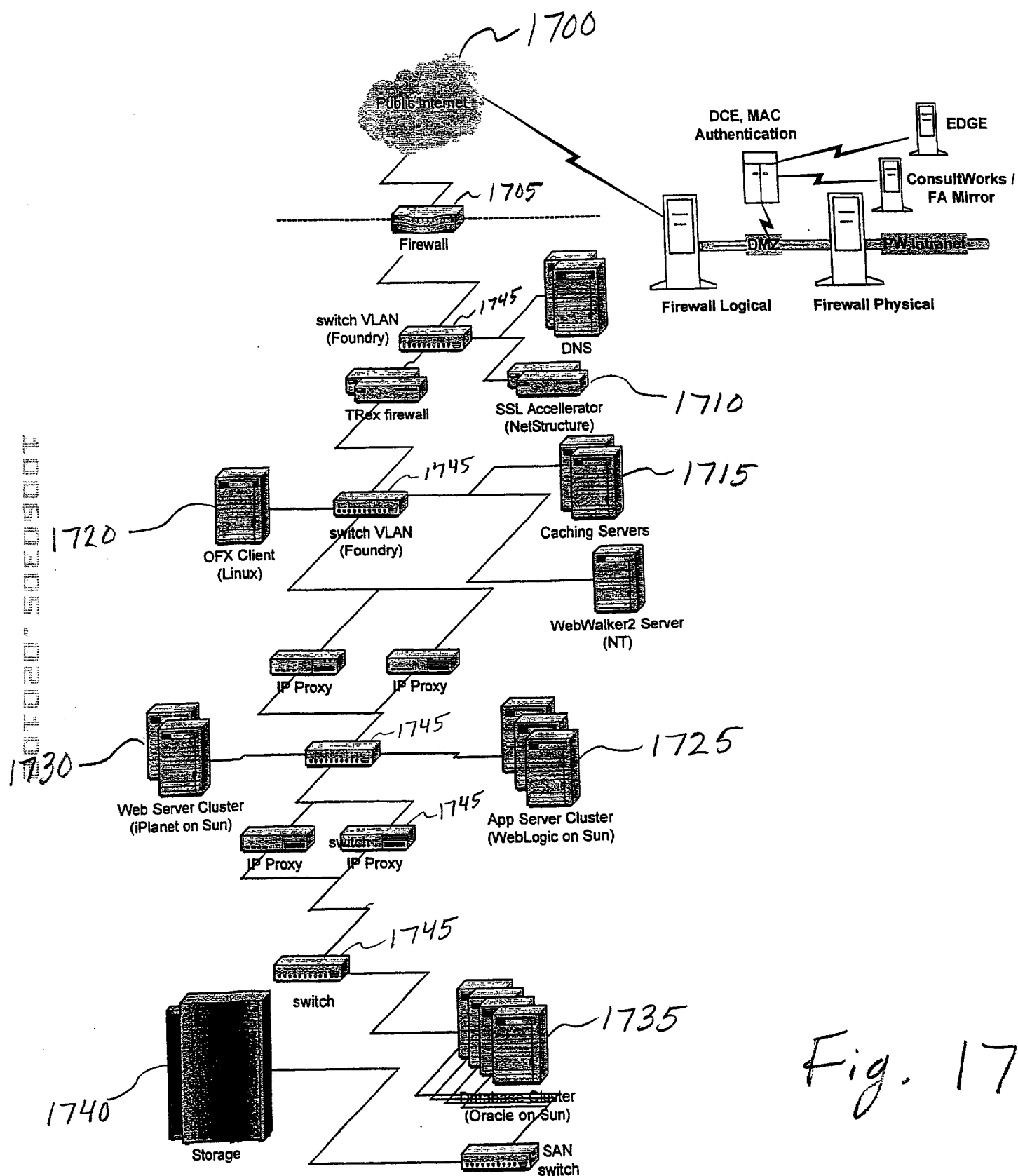


Fig. 16



1800
↓

| | | | | |
|--------|-------------|--------------|---------------|--------|
| Assets | | | | |
| Online | Institution | Account Type | Friendly Name | Amount |
| . | . | . | . | . |
| <gifl> | <instl> | <acctype1> | <acct1> | <amt1> |
| . | . | . | . | . |

1805

Fig. 18

1900
↓

| | | | | | | |
|--|---------------|----------|---------|-----------|-------------|---------|
| <Friendly Name> Investment Position Report | | | | | | |
| Symbol | Position Name | Quantity | Price* | Est Value | Price As Of | Details |
| . | . | . | . | . | . | . |
| <value> | <value> | <value> | <value> | <value> | <value> | Detail |
| . | . | . | . | . | . | . |

Fig. 19

2000
↓

2010 2015 2020 2025

| Online Account | | | | |
|-----------------------|---------------|------------|----------------|-------|
| Financial Institution | Friendly Name | Balance | Retrieval Date | |
| . | . | . | . | . |
| <gif1> | <inst1> | <acctname> | <bal1> | <dt1> |
| . | . | . | . | . |

2005

Fig. 20

2100
↓

2115 2120 2125 2130 2135 2140 2145 2150 2155 2160

| Grant Number | Option Date | Option Type | Option Price | Options Granted | Options Vested | Shares Exercised | Shares Pending Execution | Current Shares Exercisable | Options Outstanding |
|--------------|-------------|-------------|--------------|-----------------|----------------|------------------|--------------------------|----------------------------|---------------------|
| <value> | <value> | <value> | <value> | <value> | <value> | <value> | <value> | <value> | <value> |
| <value> | <value> | <value> | <value> | <value> | <value> | <value> | <value> | <value> | <value> |
| <value> | <value> | <value> | <value> | <value> | <value> | <value> | <value> | <value> | <value> |
| Total: | | | | <value> | <value> | <value> | <value> | <value> | <value> |

2105 2110

Fig. 21

2200
↓

FA View Home Page - Microsoft Internet Explorer

Address: C:\Data Aggregation\FA View Prototype\Stock Options Activity 0001.htm

PaineWebber

Home | Client Info | Investment Consulting Services | Tools | Management

Research | PW Apps | Market Data | Client Inquiry | Data Aggregation | InfoNet

Account Detail | Activity

Grant #: 000031 Account: CSBS*****

Institution: PaineWebber CSBS Client: Ewing, Patrick
Account: CSBS***** Address: 123 Sonic Drive
Account Title: Pat's Stock Options FA: Fred Effay
Seattle, WA 12345

Future Vesting Schedule

| Grant Number | Shares Granted | Vested Shares | Vesting Date | Shares Cancelled | Expiration Date |
|--------------|----------------|---------------|--------------|------------------|-----------------|
| 000031 | 169 | 33 | 06/01/2002 | 0 | 06/01/2010 |
| | 169 | 33 | 06/01/2003 | 0 | 06/01/2010 |
| | 168 | 33 | 06/01/2001 | 0 | 06/01/2010 |
| | 169 | 33 | 06/01/2004 | 0 | 06/01/2010 |

Fig. 22

2300
↓

FA View Home Page - Microsoft Internet Explorer

Address: C:\Data Aggregation\FA View Prototype\Stock Options Activity 0031.htm

PaineWebber

Home | Client Info | Investment Consulting Services | Tools | Management

Research | PW Apps | Market Data | Client Inquiry | Data Aggregation | InfoNet

Account Detail | Activity

Grant #: 000031 : Account: CSBS*****

FA: Fred Effay

Institution: PaineWebber CSBS
 Account: CSBS*****
 Account Title: Pat's Stock Options

Client: Ewing, Patrick
 Address: 123 Sonic Drive
 Seattle, WA 12345

Grant Exercise History

| Grant Number | Exercise Date | Exercise Type | Option Price | Shares Exercised | Option Cost |
|--------------|---------------|---------------|--------------|------------------|-------------|
| 000031 | 01/04/1993 | Cash Exercise | 1.0000 | 5,000 | 5,000.00 |
| | 01/04/1994 | Cash Exercise | 1.0000 | 5,000 | 5,000.00 |

Fig. 23

201020"5060300T